

NEW UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.: AT-4

First Named Inventor: **Joseph E. Funk**

Total Pages in this submission: 35

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (1)
2. Specification (21)
 - ☒ Title of the Invention
 - ☐ Cross References to Related Appns.
 - ☐ Statement Regarding Federally Sponsored R&D
 - ☐ Reference to Microfiche Appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claims
 - ☒ Abstract of the Disclosure
3. ☒ Drawings (4)
4. ☒ Executed Declaration (1)
5. Genetic Sequence Submission
 - ☐ Paper Copy
 - ☐ Computer Readable Copy
 - ☐ Statement Verifying Identical Paper and Computer Readable Copy

ACCOMPANYING APPLICATION PARTS

6. ☒ Assignment Papers (1)
7. ☐ Certified Copy of Priority Documents
8. ☐ Computer Program in Microfiche
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement ☐ Copies of IDS Citations
11. ☐ Petition Checklist & Petition
12. ☐ Preliminary Amendment
13. ☐ Proprietary Information
14. ☒ Return Receipt Postcard (1)
15. ☒ Small Entity Statement (1)
16. ☒ Additional Disclosures
 - Express Mail Certificate (1)
 - Asgmt. Recordation Sheet (2)
 - Appn. Transmittal Sheet (1)
 - Fee Calculation Sheet (1)
 - Check for \$415 (1)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or **Joseph E. Funk**
Individual

Signature:

Date:

Joseph E. Funk
July 3, 2003

FOR OFFICIAL USE ONLY

Application No.

Class

Independent Claims

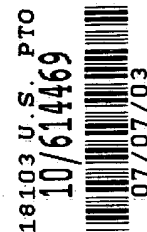
Date of Receipt

Appn. Type
Filing Date
Small Entity

GAU

For. Filing License?
Foreign Address?

Total Claims

Drawing Sheets
Special Handling?

17707 U.S. PTO
07/07/03

17707 U.S. PTO

FEE TRANSMITTAL

Total Amount of Payment \$ 415

METHOD OF PAYMENT (Check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Acct. No.
Deposit Acct. Name.
☐ Charge any additional fee required under 37CFR 1.16 and 1.17 ☐ Charge the Issue Fee set in 37CFR1.311(b)

2. ☒ Payment Enclosed
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (fees effective 01/01/03)

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Description	Fee Paid
101 770	201 375	Utility file fee	\$ 375
106 320	206 165	Design file fee	\$
107 530	207 260	Plant file fee	\$
108 770	208 375	Reissue file fee	\$
114 150	214 80	Prov. file fee	\$

SUBTOTAL (1) \$ 375

2. CLAIMS

Fee from Extra below Fee Paid
Total claims [16] - 20 = [0] X [] = \$
Indep. claim [3] - 3 = [0] X [] = \$
Multiple Dep. Claims [] X [] = \$

Large Entity Fee Code	Small Entity Fee Code	Description	Fee Paid
103 22	203 9	Claims in excess of 20	
102 80	202 42	Ind. claims - excess of 3	
104 260	204 140	Multiple dep. claims	
109 80	209 42	Reissue ind. claims over original patent	
110 22	210 9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$

Complete If Known

Application No.
Filing Date
First Named Inventor **Joseph E. Funk Jr.**
Group Art Unit
Examiner Name
Atty. Docket No. **AT-4**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	\$
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	\$
139 130	139 130	Non-English specification	\$
147 2460	147 2460	Filing a request for re-exam	\$
112 900	112 900	Requesting publication of SIR prior to Examiner action	\$
113 1790	113 1790	Requesting publication of SIR after Examiner action	\$
115 110	215 55	Ext. for response in 1st month	\$
116 390	216 195	Ext. for response in 2nd month	\$
117 930	217 465	Ext. for response in 3rd month	\$
118 1470	218 735	Ext. for response in 4th month	\$
119 300	219 150	Notice of Appeal	\$
120 300	220 150	Filing brief supporting appeal	\$
121 260	221 130	Request for oral hearing	\$
138 1470	138 1470	Petition to institute public use proceeding	\$
140 110	240 55	Petition to Revive - Unavoidably abandoned application	\$
141 1290	241 645	Petition To Revive Unintentionally abandoned application	\$
142 1290	242 645	Utility Issue Fee (or Reissue)	\$
143 440	243 220	Design Issue Fee	\$
144 650	244 325	Plant Issue Fee	\$
122 130	122 130	Petitions to Commissioner	\$
123 50	123 50	Petitions for provisional appns.	\$
126 230	126 230	Submission of Inf. Disc. Sheet	\$
581 40	581 40	Recording each patent assignment per property (X number of prop.)	\$ 40
146 770	246 385	Filing a submission after final rejection (37 CFR 1.129(a))	\$
149 770	249 385	For each added invention to be examined (37CFR1.129(b))	\$

Other Fee (specify): \$
Other Fee (specify): \$
Other Fee (specify): \$

SUBTOTAL (3) \$ 40

Submitted By:

Typed or: **Joseph E. Funk Sr.**

Printed Name: *Joseph E. Funk Sr.*
Signature:

Date: **06/07/03**

Complete (If Applicable)

Reg. Number: **25,974**

Deposit Acct.:

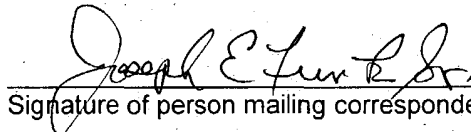
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"Express Mail" Label Number

July 7, 2003
Date of Deposit

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Signature of person mailing correspondence

Joseph E. Funk Jr. Reg. No. 25,974
Typed or printed name of person mailing correspondence

Note: Each paper must have its own certificate of mailing by "Express Mail".

New US Patent application for:

Title: A Method And System for a Processor Controlled Illumination System For Reading and Analyzing Materials

Inventors: Joseph E. Funk Jr. & Bruce C. Monk

Application (21 sheets – Specification, claims & abstract)

Drawings, Informal (4 sheets)

Declaration and Power of Attorney

Assignment

Recordation Form Cover Sheet (PTO-1619A)

Verified Statement Claiming Small Entity Status (PTO/SB/10)

Transmittal Form (PTO/SB/5)

This Express Mailing Certificate (PTO/SB/93)

Fee Transmittal Form (PTO/SB/17)

Check for \$ 415

Return Postcard